



Privacy Notice

May 2024

MAI dba Moneywatch Advisors collects non-public personal information about you, our client, from the following sources:

- Information we receive from you on applications and other forms.
- Information about your transactions from the firms that have custody of your assets. (Financial Service Providers, Charles Schwab)
- Information we receive from outside affiliates with whom you have a relationship, i.e. retirement plans. This only applies if the client has given the affiliate permission to provide us with that information.

Moneywatch Advisors collects the following types of personal information:

- Social security number
- Date of birth
- Driver's license number, state of issuance and date of expiration, passport number
- Telephone number
- Physical address
- Email address
- Banking information

Moneywatch Advisors does not disclose any non-public personal information about our existing or former clients to anyone, except as permitted by law and at the request of the client.

Moneywatch Advisors may disclose non-public personal information about you to the following type of third party:

- Financial service providers whom you have authorized, particularly Charles Schwab.

Moneywatch Advisors restricts access to non-public personal information about you. Moneywatch employees are able to access your personal information when providing our business services to you. We maintain physical, electronic, and procedural safeguards to protect your non-public personal information. This includes restricted access to our office, restricted access to our files, password-only access to our computers, and password-only

WWW.MONEYWATCHADVISORS.COM
121 WALTON AVE
LEXINGTON, KY 40508

PHONE: (859) 268-1117

FAX: (859) 269-7315



Privacy Notice

May 2024

access to our software. All access to personal information by employees is for business purposes only. All employees are required to safeguard such information. Client information is never released to third parties for marketing purposes.

Moneywatch Advisors has established an Information Security Program & Identity Theft Prevention Program designed to detect, prevent, and mitigate information and identity theft. (updated annually in April)

Our company code of ethics is available upon request. (updated annually in July)

Please contact Ramsey Bova, Chief Compliance Officer, if you have questions or concerns regarding this notice or our company Privacy Policy. We encourage all clients to safeguard their personal information and never provide third parties with personal information without first verifying the validity of such requests. This includes replying to phishing attempt emails.

WWW.MONEYWATCHADVISORS.COM
121 WALTON AVE
LEXINGTON, KY 40508

PHONE: (859) 268-1117

FAX: (859) 269-7315